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Characterizations of quasi-metric completeness in terms of Kannan-type fixed point theorems

Dedicated to the memory of Professor Lawrence M. Brown

Carmen Alegre^{*}, Hacer Dağ[†], Salvador Romaguera[‡] and Pedro Tirado[§]

Abstract

We obtain quasi-metric versions of Kannan's fixed point theorem for self-mappings and multivalued mappings, respectively, which are used to deduce characterizations of d-sequentially complete and of left Ksequentially complete quasi-metric spaces, respectively.

Keywords: Quasi-metric space, complete, Kannan mapping, fixed point. 2000 AMS Classification: 54H25, 54E50, 47H10.

1. Introduction and preliminaries

Since Hu proved in [10] that a metric space (X, d) is complete if and only if for any closed subspace C of (X, d), every Banach contraction on C has fixed point, several authors have investigated the problem of characterizing the metric completeness with the help of fixed point theorems (see e.g. [13, 18, 25, 26, 27, 28]). Next we recall those characterizations which will be related with our approach.

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Caristi proved in [6] the following important generalization of the Banach contraction principle.

1.1. Theorem (see [6]). Let (X, d) be a complete metric space. If T is a selfmapping of X such that there is a lower semicontinuous function $\varphi : X \to [0, \infty)$ satisfying

(1.1) $d(x, Tx) \le \varphi(x) - \varphi(Tx),$

for all $x \in X$, then T has a fixed point.

A self-mapping T on a metric space (X, d) for which there is a lower semicontinuous function $\varphi: X \to [0, \infty)$ satisfying condition (1.1) for all $x \in X$, is called a Caristi mapping on (X, d).

Kirk proved in [13] that Caristi's fixed point theorem allows to characterize the metric completeness as follows.

1.2. Theorem (see [13]). A metric space (X, d) is complete if and only if every Caristi mapping on (X, d) has a fixed point.

Almost simultaneously, Subrahmanyam [26] showed that the well-known Kannan fixed point theorem (see Theorem 1.3 below) also allows to characterize the metric completeness.

1.3. Theorem (see [11]). Let (X,d) be a complete metric space. If T is a self-mapping of X such that there is a constant $c \in [0, 1/2)$ satisfying

(1.2) $d(Tx, Ty) \le c(d(x, Tx) + d(y, Ty)),$

for all $x, y \in X$, then T has a unique fixed point.

The above result suggests the following well-established notion: A self-mapping T of a metric space (X, d) is said to be a Kannan mapping on (X, d) if there exists a constant $c \in [0, 1/2)$ for which condition (1.2) is satisfied for all $x, y \in X$.

Then, Subrahmanyam proved the following.

1.4. Theorem (see [26]). A metric space (X,d) is complete if and only if every Kannan mapping on (X,d) has a fixed point.

On the other hand, and motivated in part by the fact that quasi-metric spaces provide suitable frameworks in several areas of asymmetric functional analysis, domain theory, and complexity analysis of algorithms defined by recurrence equations (see [8] and its bibliography, [4, 20, 21, 23, 24] etc.), the development of the fixed point theory for theses spaces is receiving a significant boost (see e.g. [1, 2, 3, 5, 7, 9, 12, 15, 16, 17]). In this setting, the problem of characterizing quasi-metric completeness via fixed point theorems arises in a natural way. This problem has an extra appeal due to the existence of several different notions of quasi-metric completeness in the literature, so it seems reasonable to expect the existence of interesting differences with respect to the classical metric setting. In this

paper we show that this is the case. Indeed, Romaguera and Tirado [22] extended Kirk's characterization (Theorem 1.2) to the realm of Smtyh complete quasi-metric spaces, while here we discuss the problem of characterizing the quasi-metric completeness by using appropriate versions of Kannan's fixed point theorem. In this fashion, we shall obtain characterizations of d-sequentially complete and of left K-sequentially complete quasi-metric spaces, respectively.

We conclude this section by recalling some pertinent notions and properties on quasi-metric spaces which will be useful later on. (By \mathbb{N} we will denote the set of all positive integer numbers.)

Following the modern terminology (see [8]), a quasi-metric on a set X is a function $d: X \times X \to [0, \infty)$ such that for all $x, y, z \in X$:

- (i) $x = y \Leftrightarrow d(x, y) = d(y, x) = 0$, and
- (ii) $d(x, z) \le d(x, y) + d(y, z)$.

A quasi-metric space is a pair (X, d) such that X is a set and d is a quasi-metric on X.

Given a quasi-metric d on a set X the function d^s defined on $X \times X$ by $d^s(x, y) = \max\{d(x, y), d(y, x)\}$ for all $x, y \in X$, is a metric on X.

Each quasi-metric d on X induces a T_0 topology τ_d on X which has as a base the family of open balls $\{B_d(x,r) : x \in X, \varepsilon > 0\}$, where $B_d(x,\varepsilon) = \{y \in X : d(x,y) < \varepsilon\}$ for all $x \in X$ and $\varepsilon > 0$.

If τ_d is a T_1 topology on X, we say that d is a T_1 quasi-metric on X.

A sequence $(x_n)_{n \in \mathbb{N}}$ in a quasi-metric space (X, d) is called left K-Cauchy [19] if for each $\varepsilon > 0$ there exists $n_{\varepsilon} \in \mathbb{N}$ such that $d(x_n, x_m) < \varepsilon$ whenever $n_{\varepsilon} \le n \le m$.

A quasi-metric space (X, d) is called left K-sequentially complete (resp. *d*-sequentially complete) [8, 19] if every left K-Cauchy sequence in (X, d) (resp. every Cauchy sequence in the metric space (X, d^s)) converges for the topology τ_d , and it is called Smyth complete (see e.g. [14, 22, 23]) if every left K-Cauchy sequence in (X, d) converges for the topology τ_{d^s} .

The following implications are obvious for a quasi-metric space (X, d):

Smyth complete \Rightarrow left K-sequentially complete \Rightarrow d-sequentially complete.

The converse implications do not hold in general. The following known examples illustrate this fact.

1.5. Example. Let $X = \mathbb{N} \cup \{0\}$ and let d be the T_1 quasi-metric on X given by d(x, x) = 0 for all $x \in X$, d(0, x) = 1/x for all $n \in \mathbb{N}$, and d(x, y) = 1 otherwise. Then (X, d) is clearly left K-sequentially complete (note that τ_d is a compact topology on X), but it is not Smyth complete because the sequence $(n)_{n \in \mathbb{N}}$ is left K-Cauchy sequence but does not converge for τ_{d^s} .

1.6. Example. Let \mathbb{R} be the set of all real numbers and let d be the T_1 quasimetric on \mathbb{R} given by d(x, y) = y - x if $x \leq y$, and d(x, y) = 1 if x > y. Then (\mathbb{R}, d) is d-sequentially complete because the Cauchy sequences in the metric space (\mathbb{R}, d^s) are eventually constant. However, it is not left K-sequentially complete because the sequence $(-1/n)_{n \in \mathbb{N}}$ is left K-Cauchy but does not converge for τ_{d^s} . Observe that τ_d is the well-known Sorgenfrey topology on \mathbb{R} .

2. The results

In [22], Smyth complete quasi-metric spaces were characterized by means of an appropriate quasi-metric version of Caristi's fixed point theorem.

According to [22], a self-mapping T of a quasi-metric space (X, d) is said to be a d^s -Caristi mapping on (X, d) if there exists a function $\varphi : X \to [0, \infty)$ which is lower semicontinuous for τ_{d^s} and satisfies $d(x, Tx) \leq \varphi(x) - \varphi(Tx)$, for all $x \in X$.

Then it was proved the following.

2.1. Theorem (see [22]). A quasi-metric space (X, d) is Smyth complete if and only if every d^s-Caristi mapping on (X, d) has a fixed point.

In the sequel we shall prove that, however, quasi-metric versions of Kannan's fixed point theorem for self-mappings and multivalued mappings characterize d-sequential completeness and left K-sequential completeness, respectively.

2.2. Definition. Let (X, d) be a quasi-metric space. By a *d*-Kannan mapping on (X, d) we mean a self-mapping T of X such that there exists a constant $c \in [0, 1/2)$ satisfying

(2.1) $d(Tx, Ty) \le c(d(x, Tx) + d(y, Ty)),$

for all $x, y \in X$.

2.3. Lemma. Let T be a d-Kannan mapping on a quasi-metric space (X, d) with constant $c \in [0, 1/2)$. Then:

(a) $d^s(Tx, Ty) \leq c(d(x, Tx) + d(y, Ty))$, for all $x, y \in X$.

(b) T is a Kannan mapping on the metric space (X, d^s) .

(c) For any $x_0 \in X$, the sequence $(T^n x_0)_{n \in \mathbb{N}}$ is a Cauchy sequence in the metric space (X, d^s) .

Proof. (a) Given $x, y \in X$ we have

$$d(Tx,Ty) \le c(d(x,Tx) + d(y,Ty)) \quad \text{and} \quad d(Ty,Tx) \le c(d(y,Ty) + d(x,Tx)),$$

 \mathbf{SO}

$$d^{s}(Tx, Ty) \le c(d(x, Tx) + d(y, Ty)) \le c(d(x, Tx) + d(y, Ty)).$$

(b) Since $d(x,Tx) \leq d^s(x,Tx)$ and $d(y,Ty) \leq d^s(y,Ty)$ for all $x,y \in X$, it follows from assertion (a) that T is a Kannan mapping on (X, d^s) , with constant c.

(c) Since, by (b), T is a Kannan mapping for the metric space (X, d^s) , the classical proof of Kannan's fixed point theorem [11] shows that for any $x_0 \in X$, $(T^n x_0)_{n \in \mathbb{N}}$ is a Cauchy sequence in the metric space (X, d^s) .

Related to Lemma 2.3 (b) we give an example of a self-mapping of a quasimetric space (X, d) which is a Kannan mapping on (X, d^s) but not a *d*-Kannan

mapping.

2.4. Example. Let $X = [0, \infty)$ and let d be the quasi-metric on X given by $d(x, y) = \max\{y - x, 0\}$ for all $x, y \in X$. It is well known that (X, d) is Smyth complete. Now define $T: X \to X$ as Tx = 0 if $x \in [0, 1]$ and Tx = x/4 if $x \in (1, \infty)$. If x > y > 1 we have d(Tx, Ty) = (x - y)/4 but d(x, Tx) = d(y, Ty) = 0, so that T is not d-Kannan on (X, d). However, it is easy to check that T is a Kannan mapping on (X, d^s) for c = 1/3 (note that d^s is the Euclidean metric on X).

2.5. Theorem. Let (X, d) be a d-sequentially complete quasi-metric space. Then, every d-Kannan mapping on (X, d) has a unique fixed point.

Proof. Let T be a d-Kannan mapping on (X, d). Then, there exists $c \in [0, 1/2)$ such that the contraction condition (2.1) follows for all $x, y \in X$. Fix $x_0 \in X$. From Lemma 2.3 (c), $(T^n x_0)_{n \in \mathbb{N}}$ is a Cauchy sequence in the metric space (X, d^s) . Since (X, d) is d-sequentially complete, there exists $z \in X$ such that $(T^n x_0)_{n \in \mathbb{N}}$ converges to z for τ_d , i.e., $d(z, T^n x_0) \to 0$ as $n \to \infty$.

Next we show that Tz is the unique fixed point of T. To this end, we first show that d(z, Tz) = 0. Indeed, we have

$$\begin{aligned} d(z,Tz) &\leq d(z,T^n x_0) + d(T^n x_0,Tz) \\ &\leq d(z,T^n x_0) + c(d(T^{n-1} x_0,T^n x_0) + d(z,Tz)), \end{aligned}$$

for all $n \in \mathbb{N}$. Since $d(z, T^n x_0) \to 0$ and $(T^n x_0)_{n \in \mathbb{N}}$ is a Cauchy sequence in the metric space (X, d^s) , we deduce that $d(z, Tz) \leq cd(z, Tz)$. Consequently, d(z, Tz) = 0.

Since by Lemma 2.3 (a),

$$d^{s}(Tz, T^{2}z) \leq c(d(z, Tz) + d(Tz, T^{2})),$$

we deduce that $d^s(Tz, T^2z) \leq cd(Tz, T^2z)$, so $d^s(Tz, T^2z) = 0$, i.e., Tz is a fixed point of T.

Finally, if Tu = u, it follows from Lemma 2.3 (a) that

$$d^{s}(u, Tz) = d^{s}(Tu, T^{2}z) \leq c(d(u, Tu) + d(Tz, T^{2}z)).$$

Since $d(u, Tu) = d(Tz, T^2z) = 0$, we deduce that $d^s(u, Tz) = 0$, i.e., u = Tz. This concludes the proof. \Box

The following examples illustrate Theorem 2.5.

2.6. Example. Let $X = [0, \infty)$ and let d be the quasi-metric on X given by $d(x, y) = \max\{x - y, 0\}$ for all $x, y \in X$. Since d^s is the Euclidean metric on X, (X, d) is d-sequentially complete (in fact, it is left K-sequentially complete because every sequence in X converges to 0 for τ_d). Define $T : X \to X$ as in Example 2.4. Let $x, y \in X$, and assume, without loss of generality, that $x \leq y$. If $x, y \in [0, 1]$, then $d^s(Tx, Ty) = 0$. If $x \in [0, 1]$ and $y \in (1, \infty)$ we obtain

$$d^{s}(Tx,Ty) = \frac{y}{4} \le \frac{1}{3}(x + \frac{3y}{4}) = \frac{1}{3}(d(x,Tx) + d(y,Ty)).$$

Finally, if $x, y \in (1, \infty)$ we obtain

$$d^{s}(Tx,Ty) = \frac{y-x}{4} < \frac{1}{3}(\frac{3x}{4} + \frac{3y}{4}) = \frac{1}{3}(d(x,Tx) + d(y,Ty)).$$

Therefore T is a d-Kannan mapping on (X, d) for c = 1/3. Thus, all conditions of Theorem 2.5 are satisfied. In fact z = 0 is the unique fixed point of T.

2.7. Example. Let $X = [0,1] \cup \{2\}$ and let d be the quasi-metric on X given by d(2,x) = 0 for all $x \in X$, nd d(x,y) = |x-y| otherwise. Clearly (X,d) is d-sequentially complete. Define $T: X \to X$ as T2 = 0 and Tx = x/4 if $x \in [0,1]$. It is easy to check that T is a d-Kannan mapping on (X,d) for c = 1/3. Thus, all condition of Theorem 2.5 are satisfied. It is interesting to observe that for any $x_0 \in X$ the sequence $(T^n x_0)_{n \in \mathbb{N}}$ converges to 2 for τ_d but 2 is not the fixed point of T. This situation illustrates the proof of Theorem 2.5 which shows that T2 is the unique fixed point of T; in fact $(T^n x_0)_{n \in \mathbb{N}}$ converges to T2 for τ_{d^s} .

2.8. Theorem. A quasi-metric space (X, d) is d-sequentially complete if and only if every d-Kannan mapping on (X, d) has a fixed point.

Proof. Suppose that (X, d) is *d*-sequentially complete. Then, every *d*-Kannan mapping on (X, d) has a (unique) fixed point by Theorem 2.5.

For the converse suppose that (X, d) is not *d*-sequentially complete. Then there exists a Cauchy sequence $(x_n)_{n \in \mathbb{N}}$ in (X, d^s) that does not converge for τ_d . Then, for each $x \in X$ there exists $n_x \in \mathbb{N}$ such that $d(x, x_n) > 0$, for all $n \ge n_x$ (indeed, otherwise there is $x \in X$ such that for each $n \in \mathbb{N}$ we can find $m_n \ge n$ for which $d(x, x_{m_n}) = 0$; since $(x_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in (X, d^s) it follows that $(x_n)_{n \in \mathbb{N}}$ converges to x for τ_d , a contradiction).

Now, for each $x \in X$ put $C_x = \{x_n : n \ge n_x\}$. Clearly $d(x, C_x) > 0$ (indeed, if $d(x, C_x) = 0$, for some $x \in X$, reasoning as in the parenthetical part of the preceding paragraph, we deduce that that sequence $(x_n)_{n \in \mathbb{N}}$ converges to x for τ_d , a contradiction).

Since $(x_n)_{n \in \mathbb{N}}$ is a Cauchy sequence in (X, d^s) , for each $x \in X$ there exists $n(x) \geq n_x$ such that

$$d^s(x_n, x_m) < \frac{1}{4}d(x, C_x),$$

for all $m, n \ge n(x)$.

Define $T: X \to X$ as $Tx = x_{n(x)}$ for all $x \in X$.

Since $n(x) \ge n_x$, we have that $d(x, x_{n(x)}) > 0$, and hence T has not fixed point.

We shall show that, nevertheless, T is a d-Kannan mapping on (X, d) for c = 1/4. Indeed, let $x, y \in X$ and suppose, without loss of generality, that $n(x) \leq n(y)$. Then

$$d^{s}(Tx, Ty) = d^{s}(x_{n(x)}, x_{n(y)}) < \frac{1}{4}d(x, C_{x})$$
$$\leq \frac{1}{4}d(x, x_{n(x)}) = \frac{1}{4}d(x, Tx).$$

Since $d(Tx, Ty) \leq d^s(Tx, Ty)$ and $d(Ty.Tx) \leq d^s(Tx, Ty)$, we conclude that T is a d-Kannan mapping on (X, d) for c = 1/4. This contradiction finishes the proof. \Box

Let (X, d) be a quasi-metric space. The closure for τ_d of a subset A of X will be denoted by \overline{A} , and the set of all non-empty closed subsets of the topological (X, τ_d) by $Cl_d(X)$.

2.9. Definition. Let (X, d) be a quasi-metric space. By a left-Kannan multivalued mapping on (X, d) we mean a multivalued mapping $T : X \to Cl_d(X)$ such that there exists a constant $c \in [0, 1/2)$ for which the following condition is satisfied:

For each $x, y \in X$ and each $u \in Tx$ there exists $v \in Ty$ such that

(2.2)
$$d(u,v) \le c(d(x,u) + d(y,v)).$$

2.10. Theorem. Let (X, d) be a left K-sequentially complete quasi-metric space. Then, every left-Kannan multivalued mapping on (X, d) has a fixed point, i.e., there is $z \in X$ such that $z \in Tz$.

Proof. Let T be a left-Kannan multivalued mapping on (X, d). Then, there exists $c \in [0, 1/2)$ such that the contraction condition (2.2) in Definition 2.9 follows for all $x, y \in X$.

Fix $x_0 \in X$. Choose $x_1 \in Tx_0$. Then, there exists $x_2 \in Tx_1$ such that

$$d(x_1, x_2) \le c(d(x_0, x_1) + d(x_1, x_2)).$$

Therefore

$$d(x_1, x_2) \le \frac{c}{1-c} d(x_0, x_1).$$

Following this process we construct a sequence $(x_n)_{n\in\mathbb{N}}$ where $x_n\in Tx_{n-1}$ and

$$d(x_n, x_{n+1}) \le \frac{c}{1-c} d(x_n, x_{n-1}),$$

for all $n \in \mathbb{N}$. Hence

$$d(x_n, x_{n+1}) \le \left(\frac{c}{1-c}\right)^n d(x_0, x_1),$$

for all $n \in \mathbb{N}$. Consequently $(x_n)_{n \in \mathbb{N}}$ is a left K-Cauchy sequence in (X, d) [8, Proposition 1.2.6]

Since (X, d) is left K-sequentially complete there exists $z \in X$ such that $d(z, x_n) \to 0$ as $n \to \infty$. We shall show that $z \in Tz$. Indeed, for each $n \in \mathbb{N}$ there exists $z_n \in Tz$ such that

$$(2.3) \quad d(x_{n+1}, z_n) \le c(d(x_n, x_{n+1}) + d(z, z_n)).$$

From the triangle inequality and (5) it follows that

$$d(z, z_n) \le d(z, x_{n+1}) + c(d(x_n, x_{n+1}) + d(z, z_n)),$$

for all $n \in \mathbb{N}$. Since $d(z, x_{n+1}) \to 0$ and $d(x_n, x_{n+1}) \to 0$ as $n \to \infty$, we deduce that $d(z, z_n) \to 0$ as $n \to \infty$, so $z \in Tz$ because Tz is closed for τ_d . This concludes the proof. \Box

2.11. Lemma (see [8, Proposition 1.2.4]). Let (X, d) be a quasi-metric space. If a left K-Cauchy sequence in (X, d) has a subsequence that converges for τ_d to some $x \in X$, then the sequence converges to $x \in X$ for τ_d .

2.12. Theorem. A quasi-metric space (X,d) is left K-sequentially complete if and only if every left-Kannan multivalued mapping on (X,d) has a fixed point.

Proof. Suppose that (X, d) is left K-sequentially complete. Then, every left-Kannan multivalued mapping on (X, d) has a fixed point by Theorem 2.10.

For the converse suppose that (X, d) is not left K-sequantially complete. Then there exists a left K-Cauchy sequence $(x_n)_{n \in \mathbb{N}}$ in (X, d) that does not converge for τ_d . Similarly to the proof of Theorem 2.8, and using Lemma 2.11, we deduce that for each $x \in X$ there exists $n_x \in \mathbb{N}$ such that $d(x, x_n) > 0$, for all $n \geq n_x$.

for each $x \in X$ there exists $n_x \in \mathbb{N}$ such that $d(x, x_n) > 0$, for all $n \ge n_x$. Now, for each $x \in X$ put $C_x = \{x_n : n \ge n_x\}$. Then $x \notin \overline{C_x}$ and thus $d(x, \overline{C_x}) > 0$, where, as usual, $d(x, \overline{C_x}) := \inf\{d(x, y) : y \in \overline{C_x}\}$.

Since $(x_n)_{n \in \mathbb{N}}$ is a left K-Cauchy sequence in (X, d), for each $x \in X$ there exists $n(x) \ge n_x$ such that

$$d(x_n, x_m) < \frac{1}{4}d(x, \overline{C_x}),$$

whenever $m \ge n \ge n(x)$.

For each $x \in X$ put $D_x = \{x_n : n \ge n(x)\}$. Then $D_x \subseteq C_x$, so $\overline{D_x} \subseteq \overline{C_x}$.

Define $T: X \to Cl_d(X)$ as $Tx = \overline{D_x}$ for all $x \in X$.

Since, for each $x \in X$, $x \notin \overline{C_x}$ it follows that $x \notin Tx$, and thus T has no fixed points.

We shall show that, nevertheless, T is a left-Kannan multivalued mapping on (X,d) for c = 1/3. Indeed, let $x, y \in X$ and suppose, without loss of generality that $n(x) \leq n(y)$. Then $D_y \subseteq D_x$, so $Ty \subseteq Tx$, and hence for each $u \in Ty$ we can take $v = u \in Tx$, and thus d(u,v) = 0. On the other hand, given $u \in Tx$ there exists $v \in Ty$ such that $d(u,v) < d(x,\overline{C_x})/12 + d(u,Ty)$. Since for each $\varepsilon > 0$ there exists $n_{\varepsilon} \geq n(x)$ such that $d(u, x_{n_{\varepsilon}}) < \varepsilon$ we deduce (recall that $x_{n(y)} \in Ty$ and $T_x \subseteq \overline{C_x}$):

$$\begin{array}{lcl} d(u,v) &<& \displaystyle \frac{1}{12}d(x,\overline{C_x}) + d(u,Ty) \leq \displaystyle \frac{1}{12}d(x,\overline{C_x}) + d(u,x_{n_{\varepsilon}}) + d(x_{n_{\varepsilon}},Ty) \\ &<& \displaystyle \frac{1}{12}d(x,\overline{C_x}) + \varepsilon + d(x_{n_{\varepsilon}},x_{n(y)}) < \displaystyle \frac{1}{12}d(x,\overline{C_x}) + \varepsilon + \displaystyle \frac{1}{4}d(x,\overline{C_x}) \\ &\leq& \displaystyle \varepsilon + \displaystyle \frac{1}{3}d(x,T_x) \leq \varepsilon + \displaystyle \frac{1}{3}d(x,u). \end{array}$$

Since ε is arbitrary we deduce that

$$d(u,v) \le \frac{1}{3}d(x,u).$$

We have shown that T is a left-Kannan multivalued mapping on (X, d) for c = 1/3. This finishes the proof.

2.13. Remark. Let (\mathbb{R}, d) be the quasi-metric space of Example 1.6. By Theorem 2.5, every *d*-Kannan mapping on (\mathbb{R}, d) has a unique fixed point. However there exists a left-Kannan multivalued mapping on it without fixed points, by Theorem 2.12. Finally, if (X, d) is the quasi-metric space of Example 2.4 or the quasi-metric space of Example 2.6, then every left-Kannan multivalued mapping on (X, d) has a fixed point by Theorem 2.12.

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