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AUTHORS: Ryûki Matsuda

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# SEMISTAR OPERATIONS ON ALMOST PSEUDO-VALUATION DOMAINS

#### Ryûki Matsuda

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ABSTRACT. We characterize when an almost pseudo-valuation domain has a finite number of semistar operations.

Mathematics Subject Classification (2010): 13A15 Keywords: star operation, semistar operation, pseudo-valuation domain, almost pseudo-valuation domain

# 1. Introduction

The notion of a star operation is classical, and that of a Kronecker function ring which arises by a star operation is also classical. The notions of star operations, semistar operations, and their Kronecker function rings of integral domains have been well-known. Let D be an integral domain, K be its quotient field, and F(D)be the set of non-zero fractional ideals of D. A mapping  $I \mapsto I^*$  from F(D) to F(D) is called a star operation on D if, for every  $x \in K \setminus \{0\}$  and  $I, J \in F(D)$ , the following conditions are satisfied: (1)  $(x)^* = (x)$ ; (2)  $(xI)^* = xI^*$ ; (3)  $I \subseteq I^*$ ; (4)  $(I^*)^* = I^*$ ; (5)  $I \subseteq J$  implies  $I^* \subseteq J^*$ . The Kronecker function ring of D with respect to a star operation \* on D was first defined by L.Kronecker [7] and further investigated by W.Krull [8]. Let F'(D) be the set of non-zero D-submodules of K. A mapping  $I \longmapsto I^*$  from F'(D) to F'(D) is called a semistar operation on D if, for every  $x \in K \setminus \{0\}$  and  $I, J \in F'(D)$ , the following conditions are satisfied: (1)  $(xI)^* = xI^*$ ; (2)  $I \subseteq I^*$ ; (3)  $(I^*)^* = I^*$ ; (4)  $I \subseteq J$  implies  $I^* \subseteq J^*$ . We refer to M.Fontana and K.Loper [2] and [3] and F.Halter-Koch [5] for notions of star operations, semistar operations, and their Kronecker function rings.

Let  $\Sigma(D)$  (resp.,  $\Sigma'(D)$ ) be the set of star operations (resp., semistar operations) on D. In this paper, we are interested in the cardinalities  $|\Sigma(D)|$  and  $|\Sigma'(D)|$ , especially, when  $|\Sigma'(D)| < \infty$ .

Let D be an integrally closed domain. Then D has only a finite number of semistar operations if and only if D is a finite dimensional Prüfer domain with only a finite number of maximal ideals [11, (5.2)].

Let V be a valuation domain with dimension n, v be a valuation belonging to V, and  $\Gamma$  be its value group. Let  $M = P_n \supseteq P_{n-1} \supseteq \cdots P_1 \supseteq (0)$  be the prime ideals of V, let  $\{0\} \subseteq H_{n-1} \subseteq \cdots \subseteq H_1 \subseteq \Gamma$  be the convex subgroups of  $\Gamma$ , and let m be an integer with  $n+1 \leq m \leq 2n+1$ . Then the following conditions are equivalent: (1)  $|\Sigma'(V)| = m$ ; (2) The maximal ideal of  $V_{P_i}$  is principal for exactly 2n+1-m of i; (3)  $\frac{\Gamma}{H_i}$  has a least positive element for exactly 2n+1-m of i [9].

In [12], we studied star operations and semistar operations on a pseudo-valuation domain D. We gave conditions for D to have only a finite number of semistar operations, and showed that conditions for  $|\Sigma'(D)| < \infty$  reduce to conditions for related fields. In this paper, we will study star operations and semistar operations on almost pseudo-valuation domains, and will prove the following,

**Main Theorem** Let D be an almost pseudo-valuation domain which is not a pseudo-valuation domain, P its maximal ideal, V = (P : P), M be the maximal ideal of V and set  $K = \frac{V}{M}$  and  $k = \frac{D}{P}$ . Then  $|\Sigma'(D)| < \infty$  if and only if one of the following conditions holds:

- (1) K is an infinite field, K = k, dim $(D) < \infty$ , and either  $P = M^2$  or  $P = M^3$ .
- (2) K is a finite field,  $\dim(D) < \infty$ , and  $P = M^n$  for some integer  $n \ge 2$ .

The paper consists of six sections. Section 2 contains preliminary results, Section 3 is the case where K = k and min v(M) exists, Section 4 is the case where K = k and  $P = M^2$  or  $P = M^3$ , Section 5 is the case where K = k and  $P = M^n$  with  $n \ge 4$ , and Section 6 is the case where  $K \supseteq k$ .

# 2. Preliminary results

For the general ideal theory, especially for star operations on integral domains, we refer to R.Gilmer [4]. Thus, for every  $I, J \in F(D)$ , we set  $(I : J) = \{x \in q(D) \mid xJ \subseteq I\}$ , where q(D) denotes the quotient field of D, set  $I^{-1} = (D : I)$ , and set  $I^{v} = (I^{-1})^{-1}$ . If  $I = I^{v}$ , then I is called divisorial. By [4, Theorem (34.1)],  $I^{v}$  is the intersection of principal fractional ideals of D containing I, the mapping  $I \longmapsto I^{v}$  from F(D) to F(D) is a star operation on D, and is called the v-operation, and for every star operation  $\star$  on D and for every  $I \in F(D)$ , we have  $I^{\star} \subseteq I^{v}$ . The identity mapping  $I \longmapsto I^{d} = I$  on F(D) is a star operation on D, and is called the d-operation.

Let I be an ideal of a domain D. If, for elements  $a, b \in q(D)$ ,  $ab \in I$  and  $b \notin I$  imply  $a \in I$ , then I is called strongly prime. If every prime ideal of D is

strongly prime, then D is called a pseudo-valuation domain (or, a PVD). We refer to J.Hedstrom and E.Houston [6] for a PVD. Thus, every PVD is a local domain, that is, D has only one maximal ideal. If D is a local domain with maximal ideal strongly prime, then D is a PVD.

For elements  $a, b \in q(D)$ , if  $ab \in I$  and  $b \notin I$  imply  $a^n \in I$  for some positive integer n, then I is called strongly primary. If every prime ideal of D is strongly primary, then D is called an almost pseudo-valuation domain (or, an APVD). We refer to A.Badawi and E.Houston [1] for the notion of an APVD. Thus, every APVD is a local domain. Let P be the maximal ideal of D, then V = (P : P) is a valuation domain, P is a primary ideal of V, and P is primary to the maximal ideal of V. If D is a local domain with maximal ideal strongly primary, then D is an APVD.

In this section, let D be an APVD which is not a PVD, P be the maximal ideal of D, V = (P : P), M be the maximal ideal of V, v be a valuation belonging to the valuation domain  $V, \Gamma$  be the value group of  $v, K = \frac{V}{M}$ , and  $k = \frac{D}{P}$ . We note that P is not strongly prime and hence  $P \subsetneqq M$ . For, if P is strongly

We note that P is not strongly prime and hence  $P \subsetneq M$ . For, if P is strongly prime, then D is a PVD by [6, Theorem 1.4]; a contradiction to our assumption that D is not a PVD.

The following Lemmas 2.1, 2.2 and 2.3 appear in [10, Lemmas 15 and 16 and Theorem 17].

Lemma 2.1. (1)  $V = P^{-1}$ .

(2)  $P = P^{v}$ .

(3) The set of non-maximal prime ideals of D coincides with the set of non-maximal prime ideals of V, and  $\dim(V) = \dim(D)$ .

Since  $((I^{-1})^{-1})^{-1} = I^{-1}$  for every  $I \in F(D)$ , V is a divisorial fractional ideal of D.

**Lemma 2.2.** (1)  $F'(D) = F(D) \cup \{q(D)\}.$ 

(2) The integral closure  $\overline{D}$  of D is a PVD with maximal ideal M.

(3) Let T be an overring of D, that is, T is a subring of q(D) containing D. Then either  $T \supseteq V$  or  $T \subseteq V$ .

(4) Let  $\Sigma'_1 = \{ \star \in \Sigma'(D) \mid D^* \supseteq V \}$ . Then there is a canonical bijection from  $\Sigma'(V)$  onto  $\Sigma'_1$ .

(5) Let  $\Sigma'_2 = \{ \star \in \Sigma'(D) \mid D^\star \subsetneq V \}$ . Then we have  $\Sigma'(D) = \Sigma'_1 \cup \Sigma'_2$ .

(6) If  $|\Sigma'(D)| < \infty$ , then dim $(D) < \infty$ ,  $V = \overline{D}$ , V is a finitely generated D-module, and K is a simple extension field of k with degree  $[K:k] < \infty$ .

Every star operation on D can be extended uniquely to a semistar operation on D, since  $F'(D) \setminus F(D) = \{q(D)\}.$ 

**Lemma 2.3.** Assume that  $\dim(D) < \infty$ , and let  $\{T_{\lambda} \mid \lambda \in \Lambda\}$  be the set of overrings T of D with  $T \subsetneq V$ .

- (1)  $\mid \Sigma'(V) \mid < \infty$ .
- (2)  $|\Sigma'_1| = |\Sigma'(V)|.$
- (3) There is a canonical bijection from the disjoint union  $\bigcup_{\lambda} \Sigma(T_{\lambda})$  onto  $\Sigma'_{2}$ .
- (4) If  $|\Sigma'_2| < \infty$ , then  $|\Sigma'(D)| = |\Sigma'_2| + |\Sigma'(V)|$ .

Let T be an overring of D. Then there is a canonical injective mapping  $\delta$  from  $\Sigma'(T)$  to  $\Sigma'(D)$ , and is called the descent mapping from T to D.

**Lemma 2.4.** Assume that  $|\Sigma'(D)| < \infty$ , then v(M) has a least element.

**Proof.** It is well-known that for any integral domain, each overring induces a semistar operation of finite type. Thus the number of overrings is less than the number of semistar operations of finite type.  $\Box$ 

**Lemma 2.5.** Assume that  $|\Sigma'(D)| < \infty$ , and let  $I \in F(D)$ . If inf v(I) exists in  $\Gamma$ , then it is min v(I).

**Proof.** Choose an element  $x \in q(D) \setminus \{0\}$  such that  $\inf v(I) = v(x)$ . Then  $x^{-1}I \subseteq V$  and  $\inf v(x^{-1}I) = 0$ . Since v(M) has a least element by Lemma 2.4, we have  $0 = \min v(x^{-1}I)$ , hence  $v(x) = \min v(I)$ .

**Lemma 2.6.** If  $P = M^n$  for some integer  $n \ge 2$ , then v(M) has a least element.

**Proof.** Suppose the contrary, and let  $x \in M \setminus P$ . We can take elements  $x_1, \dots, x_n \in M$  such that  $v(x) > v(x_1) > \dots > v(x_n)$ . Then we have  $x = \frac{x}{x_1} \frac{x_1}{x_2} \cdots \frac{x_{n-1}}{x_n} x_n \in M^n = P$ ; a contradiction.

**Lemma 2.7.** Let Q be an ideal of V with  $M \supseteq Q \supseteq P$ , and set D + Q = T. Then T is an APVD which is not a PVD, Q is the maximal ideal of T, and V = (Q : Q).

**Proof.** We rely on [1, Theorem 3.4]. Then P is strongry primary, P is an M-primary ideal of V, and so is Q. Clearly, Q is the unique maximal ideal of T = D+Q, hence T is an APVD, and W = (Q : Q) is a valuation domain with Q primary to the maximal ideal N of W. Since  $(Q : Q) \supseteq V$ , N is a prime ideal of V, hence N = M, and W = V. Finally, T is not a PVD, because Q is not strongly prime.  $\Box$ 

**Lemma 2.8.** Let  $\star$  be a star operation (resp., a semistar operation) on D.

- (1) Let T be an overring of D. Then  $T^*$  is an overring of D.
- (2) Both  $D^*$  and  $V^*$  are overrings of D.

**Proof.** Because  $T^* = (TT)^* = (T^*T^*)^* \supset T^*T^*$ .

**Lemma 2.9.** If min v(M) exists, then we may assume that Z is the rank one convex subgroup of  $\Gamma$ , and min  $v(M) = 1 \in Z \subseteq \Gamma$ .

**Proof.** The rank one convex subgroup of  $\Gamma$  is isomorphic with the ordered group Z. Therefore there is an isomorphism compatible with orders from  $\Gamma$  onto an ordered group  $\Gamma'$  the rank one convex subgroup of which is Z.

**Lemma 2.10.** To prove our Theorem, we may assume that v(M) has a least element and min  $v(M) = 1 \in \mathbb{Z} \subseteq \Gamma$ .

The proof follows from Lemmas 2.4, 2.6 and 2.9.

### 3. The case where K = k and min v(M) exists

In this section, let D be an APVD which is not a PVD, P be the maximal ideal of D, V = (P : P), M be the maximal ideal of V, v be a valuation belonging to the valuation domain  $V, \Gamma$  be the value group of v, assume that  $K = \frac{V}{M} = \frac{D}{P}$ , and min v(M) exists with min  $v(M) = v(\pi) = 1 \in \mathbb{Z} \subseteq \Gamma$  for some element  $\pi \in M$ , and let  $\{\alpha_i \mid i \in \mathcal{I}\} = \mathcal{K}$  be a complete system of representatives of V modulo Mwith  $\{0, 1\} \subseteq \mathcal{K} \subseteq D$ .

**Lemma 3.1.** Let  $x \in q(D) \setminus \{0\}$  with  $v(x) \in \mathbb{Z}$ , and let k be a positive integer with k > v(x). Then x can be expressed uniquely as  $x = \alpha_l \pi^l + \alpha_{l+1} \pi^{l+1} + \cdots + \alpha_{k-1} \pi^{k-1} + a\pi^k$ , where l = v(x) and each  $\alpha_i \in \mathcal{K}$  with  $\alpha_l \neq 0$  and  $a \in V$ .

**Proof.** Since  $\frac{x}{\pi^l}$  is a unit of V, we have  $\frac{x}{\pi^l} \equiv \alpha_l \pmod{M}$  for a unique element  $\alpha_l \in \mathcal{K} \setminus \{0\}$ . Inductively, there are required elements  $\alpha_{l+1}, \cdots, \alpha_{k-1} \in \mathcal{K}$  and  $a \in V$ .

In Lemma 3.1, we may say that  $\alpha_i$  is the coefficient of  $\pi^i$  in x (or,  $\alpha_i$  is the coefficient of degree i in x).

**Lemma 3.2.** There is a unique integer  $n \ge 2$  such that  $P = M^n$ .

**Proof.** Set min  $\{v(x) \mid x \in P\} = n$ , and let  $x \in P$  such that v(x) = n. There is a unit u of V such that  $\pi^n = xu$ . Since P is an ideal of V, we have  $\pi^n \in P$ , and hence  $P = M^n$ . Since  $P \subsetneq M$ , we have  $n \ge 2$ .

For every subset X of q(D), the D-submodule of q(D) generated by X is denoted by (X). If  $P = M^n$ , then we have  $P = (\pi^n, \pi^{n+1}, \cdots, \pi^{2n-2}, \pi^{2n-1})$  and  $V = (1, \pi, \cdots, \pi^{n-1})$ .

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If  $a_1, \dots, a_n$  is a finite ordered set, and not only a finite set, we denote it by  $\langle a_1, \dots, a_n \rangle$  if necessary. That is,  $\langle a_1, \dots, a_n \rangle = \langle b_1, \dots, b_m \rangle$  if and only if n = m and  $a_i = b_i$  for each *i*.

# Lemma 3.3. Let $I \in F(D)$ .

- (1) If  $\inf v(I)$  exists, then it is  $\min v(I)$ .
- (2) If  $\inf v(I)$  does not exist, then we have  $I = I^{v}$ .

**Proof.** (1) Then min v(M) exists by the assumption, and the proof is similar to that of Lemma 2.5.

(2) By Lemma 3.2, there is an integer  $n \ge 2$  such that  $P = M^n$ . Since  $dI \subseteq D$ for some element  $d \in D \setminus \{0\}$ , v(I) is bounded below. Let  $\{v(x_\lambda) \mid \lambda \in \Lambda\}$  be the lower bound of v(I), and let  $x \in \bigcap_{\lambda}(x_\lambda)$ . Suppose that v(x) is in the lower bound of v(I). Then  $v(x) < v(x_\lambda)$  for some element  $\lambda \in \Lambda$ , hence  $x \notin (x_\lambda)$ ; a contradiction. Therefore there are elements  $a_1, a_2, \cdots, a_n \in I$  such that  $v(a_n) <$  $\cdots < v(a_2) < v(a_1) < v(x)$ . Then  $x = \frac{x}{a_1} \frac{a_1}{a_2} \cdots \frac{a_{n-1}}{a_n} a_n \in M^n a_n \subseteq I$ . Hence we have  $\bigcap_{\lambda}(x_\lambda) \subseteq I$ . On the other hand, obviously we have  $I \subseteq (x_\lambda)$  for every  $\lambda$ . It follows that  $I = \bigcap_{\lambda}(x_\lambda)$ , and hence  $I = I^{\vee}$  by [4, Theorem (34.1)].

**Example 3.4.** (1) Assume that  $P = M^2$ , then we have

 ${I \in F(D) \mid D \subseteq I \subseteq V} = {(1), (1, \pi)}.$ (2) Assume that  $P = M^3$ . Set  $(1) = I_0, (1, \pi^2) = I_{0,2}, (1, \pi, \pi^2) = I_{0,1,2}$ , and set  $(1, \pi + \alpha \pi^2) = I_{0,1}^{\alpha}$  for every  $\alpha \in \mathcal{K}$ . Then we have  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{I_0, I_{0,2}, I_{0,1,2}\} \cup \{I_{0,1}^{\alpha} \mid \alpha \in \mathcal{K}\}.$ If  $I_{0,1}^{\alpha} = I_{0,1}^{\beta}$  for an element  $\beta \in \mathcal{K}$ , then  $\alpha = \beta$ . (3) Assume that  $P = M^4$ . For elements  $\alpha_1, \alpha_2 \in \mathcal{K}$ , set  $(1) = I_0,$  $(1, \pi + \alpha_1 \pi^2 + \alpha_2 \pi^3) = I_{0,1}^{\alpha_1, \alpha_2},$  $(1, \pi^2 + \alpha_1 \pi^3) = I_{0,2}^{\alpha_1},$  $(1, \pi^3) = I_{0,3},$  $(1, \pi + \alpha_1 \pi^3, \pi^2 + \alpha_2 \pi^3) = I_{0,1,2}^{\alpha_1, \alpha_2},$  $(1, \pi + \alpha_1 \pi^2, \pi^3) = I_{0,1,3}^{\alpha_1},$  $(1,\pi^2,\pi^3) = I_{0,2,3},$  $(1, \pi, \pi^2, \pi^3) = I_{0,1,2,3}.$ Then we have  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{I_0, I_{0,1}^{\alpha_1, \alpha_2}, I_{0,2}^{\alpha_1}, I_{0,3}, I_{0,1,2}^{\alpha_1, \alpha_2}, I_{0,1,3}^{\alpha_1}, I_{0,2,3}, I_{0,1,2,3} \mid I_{0,2,3}, I_{0,2,3}, I_{0,2,3}, I_{0,2,3} \mid I_{0,2,3}, I_{0,2,3} \mid I_{0,2,3}, I_{0,2,3} \mid I_{0,2,3}, I_{0,2,3} \mid I_{0,2,3} \mid$ 

 $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{I_0, I_{0,1}, I_{0,2}, I_{0,3}, I_{0,1,2}, I_{0,1,3}, I_{0,2,3}, I_{0,1,3}, I_{0,2,3}, I_{0,1,3}, \alpha_1, \alpha_2 \in \mathcal{K}\}.$ 

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For elements  $\alpha_1, \alpha_2, \beta_1, \beta_2 \in \mathcal{K}$ , if  $I_{0,2}^{\alpha_1} = I_{0,2}^{\beta_1}$ , then  $\alpha_1 = \beta_1$ ; if  $I_{0,1,3}^{\alpha_1} = I_{0,1,3}^{\beta_1}$ , then  $\alpha_1 = \beta_1$ ; if  $I_{0,1}^{\alpha_1,\alpha_2} = I_{0,1}^{\beta_1,\beta_2}$ , then the ordered set  $< \alpha_1, \alpha_2 > = < \beta_1, \beta_2 >$ ; if  $I_{0,1,2}^{\alpha_1,\alpha_2} = I_{0,1,2}^{\beta_1,\beta_2}$ , then  $< \alpha_1, \alpha_2 > = < \beta_1, \beta_2 >$ .

(4) Assume that 
$$P = M^5$$
. For elements  $\alpha_1, \alpha_2, \alpha_3, \alpha_4 \in \mathcal{K}$ , set  
(1) =  $I_0$ ,  
(1,  $\pi + \alpha_1 \pi^2 + \alpha_2 \pi^3 + \alpha_3 \pi^4$ ) =  $I_{0,1}^{\alpha_1, \alpha_2, \alpha_3}$ ,  
(1,  $\pi^2 + \alpha_1 \pi^3 + \alpha_2 \pi^4$ ) =  $I_{0,2}^{\alpha_1, \alpha_2}$ ,  
(1,  $\pi^3 + \alpha_1 \pi^4$ ) =  $I_{0,3}^{\alpha_1}$ ,  
(1,  $\pi + \alpha_1 \pi^3 + \alpha_2 \pi^4, \pi^2 + \alpha_3 \pi^3 + \alpha_4 \pi^4$ ) =  $I_{0,1,2}^{\alpha_1, \alpha_2, \alpha_3, \alpha_4}$ ,  
(1,  $\pi + \alpha_1 \pi^2 + \alpha_2 \pi^4, \pi^3 + \alpha_3 \pi^4$ ) =  $I_{0,1,3}^{\alpha_1, \alpha_2, \alpha_3}$ ,  
(1,  $\pi + \alpha_1 \pi^2 + \alpha_2 \pi^3, \pi^4$ ) =  $I_{0,1,4}^{\alpha_1, \alpha_2}$ ,  
(1,  $\pi^2 + \alpha_1 \pi^4, \pi^3 + \alpha_2 \pi^4$ ) =  $I_{0,2,3}^{\alpha_1, \alpha_2}$ ,  
(1,  $\pi^2 + \alpha_1 \pi^3, \pi^4$ ) =  $I_{0,2,4}^{\alpha_1}$ ,  
(1,  $\pi + \alpha_1 \pi^4, \pi^2 + \alpha_2 \pi^3, \pi^4$ ) =  $I_{0,1,2,4}^{\alpha_1, \alpha_2}$ ,  
(1,  $\pi + \alpha_1 \pi^2, \pi^3, \pi^4$ ) =  $I_{0,1,3,4}^{\alpha_1}$ ,  
(1,  $\pi^2, \pi^3, \pi^4$ ) =  $I_{0,2,3,4}$ ,  
(1,  $\pi, \pi^2, \pi^3, \pi^4$ ) =  $I_{0,1,2,3,4}$ .  
Then we have

 $\{I \in \mathcal{F}(D) \mid D \subseteq I \subseteq V\} = \{I_0, I_{0,1}^{\alpha_1, \alpha_2, \alpha_3}, I_{0,2}^{\alpha_1, \alpha_2}, I_{0,3}^{\alpha_1}, I_{0,4}, I_{0,1,2}^{\alpha_1, \alpha_2, \alpha_3, \alpha_4}, I_{0,1,3}^{\alpha_1, \alpha_2, \alpha_3}, I_{0,1,4}^{\alpha_1, \alpha_2}, I_{0,2,3}^{\alpha_1, \alpha_2}, I_{0,2,3}^{\alpha_1, \alpha_2}, I_{0,2,3,4}^{\alpha_1, \alpha_2}, I_{0,1,2,3,4}^{\alpha_1, \alpha_2, \alpha_3}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_3}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_3}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_3, \alpha_4}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_4}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_3, \alpha_4}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_3, \alpha_4}, I_{0,2,3,4}^{\alpha_1, \alpha_2, \alpha_4}, I_{0,2,3,4}^{\alpha_1,$ 

For elements  $\alpha_1, \dots, \alpha_4, \beta_1, \dots, \beta_4 \in \mathcal{K}$ , if  $I_{0,3}^{\alpha_1} = I_{0,3}^{\beta_1}$ , then  $\alpha_1 = \beta_1$ ; if  $I_{0,2}^{\alpha_1,\alpha_2} = I_{0,2}^{\beta_1,\beta_2}$ , then  $<\alpha_1, \alpha_1 > = <\beta_1, \beta_2 >$ ; if  $I_{0,1}^{\alpha_1,\alpha_2,\alpha_3} = I_{0,1}^{\beta_1,\beta_2,\beta_3}$ , then  $<\alpha_1, \alpha_2, \alpha_3 > = <\beta_1, \beta_2, \beta_3 >$ ; etc.

**Proof.** (4) Let *I* be a fractional ideal of *D* such that  $D \subseteq I \subseteq V$ . Let  $\tau = \{v(x) \mid x \in I \setminus P\}$ , and let, for instance,  $\tau = \{0, 1, 3\}$ . Then *I* contains elements *a*, *b* of the form  $a = \pi + \alpha_2 \pi^2 + \alpha_3 \pi^3 + \alpha_4 \pi^4$  and  $b = \pi^3 + \beta \pi^4$ , where  $\alpha_2, \alpha_3, \alpha_4, \beta \in \mathcal{K}$ . Exchanging *a* by  $a - \alpha_3 b$ , we may assume that  $\alpha_3 = 0$ . Let  $x = \beta_0 + \beta_1 \pi + \beta_2 \pi^2 + \beta_3 \pi^3 + \beta_4 \pi^4 + p \in I$ , where each  $\beta_i \in \mathcal{K}$  and  $p \in P$ . We have  $x = \beta_0 + \beta_1 a + \beta_3 b + \beta'_1 \pi^2 + \beta'_2 \pi^4 + p'$  for some elements  $\beta'_i \in \mathcal{K}$  and  $p' \in P$ . Since  $\tau = \{0, 1, 3\}$ , we have  $\beta'_1 = \beta'_2 = 0$ , hence I = (1, a, b).

For the second assertion, say  $I_{0,2,3}^{\alpha_1,\alpha_2} = I_{0,2,3}^{\beta_1,\beta_2}$ . Then  $\pi^2 + \beta_1 \pi^4 = d_0 + d_1(\pi^2 + \alpha_1\pi^4) + d_2(\pi^3 + \alpha_2\pi^4)$  for some elements  $d_0, d_1, d_2 \in D$ . Comparing coefficients

of  $1, \pi^2, \pi^3$  in both sides, we have  $d_0 \equiv 0(P), d_1 \equiv 1(P)$  and  $d_2 \equiv 0(P)$ . Then  $\pi^2 + \beta_1 \pi^4 = \pi^2 + \alpha_1 \pi^4 + p$  for some element  $p \in P$ , hence  $\beta_1 = \alpha_1$ .

Similarly, we have  $\pi^3 + \beta_2 \pi^4 = d_0 + d_1(\pi^2 + \alpha_1 \pi^4) + d_2(\pi^3 + \alpha_2 \pi^4)$  for some elements  $d_0, d_1, d_2 \in D$ . Comparing coefficients of  $1, \pi^2, \pi^3$  in both sides, we have  $d_0 \equiv 0, d_1 \equiv 0$  and  $d_2 \equiv 1$ . Then  $\pi^3 + \beta_2 \pi^4 = \pi^3 + \alpha_2 \pi^4 + p$  for some element  $p \in P$ . Hence  $\beta_2 = \alpha_2$ , and hence  $< \alpha_1, \alpha_2 > = < \beta_1, \beta_2 >$ .

The proofs for (1), (2) and (3) are similar and simpler.

**Lemma 3.5.** Assume that  $P = M^n$  with  $n \ge 2$ , and let  $I \in F(D)$  with  $D \subseteq I \subseteq V$ . Then there is a set of generators  $f_0, f_1, \dots, f_m$  for I satisfying the following conditions:

(1) Each  $f_i$  has the following form:  $f_0 = 1$ , and  $f_i = \pi^{k_i} + \sum_{j=1}^{l(i)} \alpha_{i,j} \pi^{e_{i,j}}$  for each  $1 \le i \le m$ , where  $\alpha_{i,j} \in \mathcal{K}$  for each i, j. (2) In (1), the set  $\{0, k_1, \cdots, k_m\}$  is a subset of  $\{0, 1, 2, \cdots, n-1\}$  with  $0 < 1 \le j \le n$ .

(2) In (1), the set  $\{0, k_1, \dots, k_m\}$  is a subset of  $\{0, 1, 2, \dots, n-1\}$  with  $0 < k_1 < \dots < k_m$ .

(3)  $\{k_i + 1, k_i + 2, \dots, n-1\} \setminus \{k_{i+1}, \dots, k_m\} = \{e_{i,1}, \dots, e_{i, l(i)}\}$  with  $e_{i,1} < e_{i,2} < \dots < e_{i, l(i)}$  for each  $1 \le i \le m$ .

**Proof.** We have  $\{v(x) \mid x \in I \setminus P\} = \{1, k_1, \dots, k_m\}$ , where  $1 < k_1 < \dots < k_m \leq n-1$ . By Lemma 3.1, there are elements  $f_0, f_1, \dots, f_m \in I$  which have the following form:  $f_0 = 1$ , and

$$f_i = \pi^{k_i} + \sum_{j=1}^{n-1-k_i} \beta_{i,k_i+j} \pi^{k_i+j} \text{ for each } 1 \le i \le m, \text{ where } \beta_{i,j} \in \mathcal{K} \text{ for each } i,j.$$

For each  $1 \leq i \leq m$ , exchanging  $f_i$  by  $f_i - \beta_{i,k_j} f_j$  for each j > i, we may assume that  $\beta_{i,k_{i+1}} = \beta_{i,k_{i+2}} = \cdots = \beta_{i,k_m} = 0$ . Then  $f_0, f_1, \cdots, f_m$  satisfy the conditions (1), (2) and (3).

Suppose that  $(f_0, f_1, \dots, f_m) \subsetneq I$ , and let  $x \in I \setminus (f_0, f_1, \dots, f_m)$ . Then  $v(x) \in \{1, k_1, \dots, k_m\}$ . Let  $k_i = \max \{v(x) \mid x \in I \setminus (f_0, f_1, \dots, f_m)\}$ , where we put  $1 = k_0$ , and let  $y \in I \setminus (f_0, f_1, \dots, f_m)$  such that  $v(y) = k_i$ . Then there is an element  $\alpha \in \mathcal{K}$  such that  $v(y - \alpha f_i) > k_i$ . It follows that  $y - \alpha f_i \in (f_0, f_1, \dots, f_m)$ , and hence  $y \in (f_0, f_1, \dots, f_m)$ ; a contradiction. The proof is complete.  $\Box$ 

**Lemma 3.6.** Assume that  $P = M^n$  with  $n \ge 2$ , and let  $I \in F(D)$  with  $D \subseteq I \subseteq V$ . Then the system of generators  $f_0, f_1, \dots, f_m$  for I satisfying the conditions in Lemma 3.5 is determined uniquely.

**Proof.** Let  $f'_0, \dots, f'_{m'}$  be generators for I satisfying the conditions in Lemma 3.5. Then each  $f'_i$  has the following form:  $f'_0 = 1$ , and  $f'_i = \pi^{k'_i} + \sum_{j=1}^{l'(i)} \alpha'_{i,j} \pi^{e'_{i,j}} \text{ for each } 1 \leq i \leq m', \text{ where } \alpha'_{i,j} \in \mathcal{K} \text{ for each } i \text{ and } j,$ 

 $\{0, k'_1, \cdots, k'_{m'}\}$  is a subset of  $\{0, 1, 2, \cdots, n-1\}$  with  $0 < k'_1 < \cdots < k'_{m'}$ , and

 $\{k'_i + 1, k'_i + 2, \cdots, n - 1\} \setminus \{k'_{i+1}, \cdots, k'_m\} = \{e'_{i,1}, \cdots, e'_{i,l'(i)}\} \text{ with } e'_{i,1} < e'_{i,2} < \cdots < e'_{i,l'(i)} \text{ for each } 1 \le i \le m'.$ 

Suppose that  $k_i = k'_i$  for each i < j and  $k'_j < k_j$  for some j. Then  $f'_j \notin (f_0, f_1, \dots, f_m)$ ; a contradiction.

It follows that m = m',  $k_i = k'_i$  for each i, l(i) = l'(i) for each i, and  $e_{i,j} = e'_{i,j}$  for each i, j.

Suppose that  $f_i = f'_i$  for each i < j and that  $f_j \neq f'_j$ . We have  $f'_j = f_j + d_{j+1}f_{j+1} + \cdots + d_mf_m + p$  for some elements  $d_{j+1}, \cdots, d_m \in D$  and  $p \in P$ . If  $d_{j+1}, \cdots, d_m \in P$ , there is a contradiction to the uniqueness in Lemma 3.1. Otherwise, there is an integer k > j and an element  $\alpha \in \mathcal{K} \setminus \{0\}$  such that  $f'_j = f_j + \alpha f_k + d'_{k+1}f_{k+1} + \cdots + d'_mf_m + p'$  for some elements  $d'_{k+1}, \cdots, d'_m \in D$  and for some element  $p' \in P$ . The coefficient of  $\pi^k$  in the left side  $f'_j$  is zero and that in the right side is  $\alpha \neq 0$ ; a contradiction. The proof is complete.

Assume that  $P = M^n$  for an integer  $n \ge 2$ . Let  $\{0, k_1, \dots, k_m\}$  be a subset of  $\{0, 1, 2, \dots, n-1\}$  containing 0 with  $0 < k_1 < \dots < k_m$ . Then the ordered set  $< 0, k_1, \dots, k_m >$  with order  $0 < k_1 < \dots < k_m$  is called a type on D. There are  $2^{n-1}$  types on D. Let  $\tau = < 0, k_1, \dots, k_m >$  be a type on D. Set

 $\{k_i + 1, k_i + 2, \cdots, n - 1\} \setminus \{k_{i+1}, \cdots, k_m\} = \{e_{i,1}, \cdots, e_{i,l(i)}\}$  with  $e_{i,1} < e_{i,2} < \cdots < e_{i,l(i)}$  for each  $1 \le i \le m$ .

Then an ordered set  $\bar{p} = \langle \alpha_{1,1}, \cdots, \alpha_{1, l(1)}, \cdots, \alpha_{m,1}, \cdots, \alpha_{m, l(m)} \rangle$  of elements in  $\mathcal{K}$  is called a system of parameters on D belonging to  $\tau$ . The ordered set  $\sigma = \langle 0, k_1, \cdots, k_m, \alpha_{1,1}, \cdots, \alpha_{1, l(1)}, \cdots, \alpha_{m,1}, \cdots, \alpha_{m, l(m)} \rangle$  is called a data on D belonging to  $\tau$ . We denote the data by  $\langle 0, k_1, \cdots, k_m; \alpha_{1,1}, \cdots, \alpha_{1, l(1)}, \cdots, \alpha_{m,1}, \cdots, \alpha_{m, l(m)} \rangle$ .  $\tau$  (resp.,  $\bar{p}$ ) is said to belong to  $\sigma$ , and is denoted by  $\tau(\sigma)$  (resp.,  $\bar{p}(\sigma)$ ). A system of parameters belonging to  $\tau$  may be empty. In this case, the data belonging to  $\tau$  is  $\tau$  itself. Set  $f_0^{\sigma} = 1$ , and

$$f_i^{\sigma} = \pi^{k_i} + \sum_{j=1}^{\iota(i)} \alpha_{i,j} \pi^{e_{i,j}} \text{ for each } 1 \le i \le m$$

Then  $\langle f_0^{\sigma}, f_1^{\sigma}, \cdots, f_m^{\sigma} \rangle$  is called a canonical system of generators on D belonging to  $\sigma$ . And the fractional ideal  $(f_0^{\sigma}, f_1^{\sigma}, f_2^{\sigma}, \cdots, f_m^{\sigma})$  is said to be associated to  $\sigma$ , and is denoted by  $I_{\tau}^{\bar{p}}$  or, by  $I(\sigma)$ .

Let I be a fractional ideal of D with  $D \subseteq I \subseteq V$ . Lemmas 3.5 and 3.6 show that there are a type  $\tau$ , a system of parameters  $\bar{p}$ , a data  $\sigma$  uniquely such that  $I = I(\sigma)$  on *D*. Then  $\tau$  (resp.,  $\bar{p}, \sigma$ ) is called the type (resp., the system of parameters, the data) of *I*. The system of generators  $\langle f_0^{\sigma}, f_1^{\sigma}, \cdots, f_m^{\sigma} \rangle$  for *I* is called the canonical system of generators for *I*.

**Lemma 3.7.** Assume that  $P = M^n$  with  $n \ge 2$ . Then we have  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{I(\sigma) \mid \sigma \text{ is a data on } D\}.$ 

Let  $I, J \in F(D)$ . If there is an element  $x \in q(D) \setminus \{0\}$  such that xJ = I, then I and J are said similar, and is denoted by  $I \sim J$ .

**Lemma 3.8.** Assume that  $P = M^n$  with  $n \ge 2$ . Let  $\sigma, \sigma'$  be two datas on D such that  $\tau(\sigma) \ne \tau(\sigma')$ . Then  $I(\sigma)$  is not similar to  $I(\sigma')$ .

**Proof.** Suppose that  $xI(\sigma) = I(\sigma')$  for some element  $x \in q(D) \setminus \{0\}$ . Then v(x) = 0. Let  $\tau(\sigma) = \{0, k_1, k_2, \dots, k_m\}$  with  $0 < k_1 < k_2 < \dots < k_m$ , and let  $\tau(\sigma') = \{0, k'_1, k'_2, \dots, k'_{m'}\}$  with  $0 < k'_1 < k'_2 < \dots < k'_{m'}$ . We may assume that  $k_i = k'_i$  for each i < j and  $k_j < k'_j$  for some positive integer j. Then we have  $xf_j^{\sigma} \notin I(\sigma')$ , and hence  $xI(\sigma) \not\subseteq I(\sigma')$ ; a contradiction.

**Lemma 3.9.** Assume that K is a finite field. Then  $\{I \in F(D) \mid D \subseteq I \subseteq V\}$  is a finite set.

The proof follows from Lemma 3.7.

**Lemma 3.10.** Assume that K is a finite field, and let l be a negative integer. Then  $\{I \in F(D) \mid I \text{ has min } v(I), \text{ and } l \leq \min v(I) \leq 0\}$  is a finite set.

**Proof.** Let  $P = M^n$ . By Lemma 3.9, the set  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = X$  is a finite set. Let I be a fractional ideal of D such that min  $v(I) = l_0$  exists with  $l \leq l_0 \leq 0$ . We have  $v(a_0) = l_0$  for some element  $a_0 \in I$ . We may assume that  $a_0 = \pi^{l_0}(1 + \alpha_1 \pi + \alpha_2 \pi^2 + \dots + \alpha_{n-1} \pi^{n-1} + p)$  for some element  $p \in P$ . Since  $D \subseteq \frac{1}{a_0}I \subseteq V$ , we have  $\frac{1}{a_0}I \in X$ , completing the proof.  $\Box$ 

**Lemma 3.11.** Assume that K is a finite field. Then  $\{T \mid T \text{ is an overring of } D \text{ with } D \subseteq T \subseteq V\}$  is a finite set.

**Proof.** Because each overring T with  $T \subseteq V$  has some type, and each type has only a finite number of systems of parameters.

**Lemma 3.12.** Assume that K is a finite field. Let T be an overring of D with  $T \subseteq V$ , and let l be a negative integer.

- (1)  $\{I \in F(T) \mid T \subseteq I \subseteq V\}$  is a finite set.
- (2)  $\{I \in F(T) \mid \min v(I) \text{ exists, and } l \le \min v(I) \le 0\}$  is a finite set.

**Proof.** Since  $F(T) \subseteq F(D)$ , the proof follows from Lemmas 3.9 and 3.10.

# 4. The case where K = k and $P = M^2$ or $P = M^3$

In this section, let  $D, P, V, M, K, v, \Gamma, \pi$  and  $\mathcal{K}$  be as in Section 3. We will prove the following,

**Proposition 4.1.** (1) If K is a finite field, then  $|\Sigma(D)| < \infty$ .

- (2) If  $P = M^2$ , then  $|\Sigma(D)| = 1$ .
- (3) If  $P = M^2$ , and if dim $(D) < \infty$ , then  $|\Sigma'(D)| = 1 + |\Sigma'(V)|$ .
- (4) If  $P = M^3$ , then  $|\Sigma(D)| = 3$ .
- (5) If  $P = M^3$ , and if dim $(D) < \infty$ , then  $|\Sigma'(D)| = 4 + |\Sigma'(V)|$ .

We note that if  $\dim(D) = \infty$ , then  $|\Sigma'(D)| = |\Sigma'(V)| = \infty$ . For,  $\operatorname{Spec}(D) = \{P_{\lambda} \mid \lambda \in \Lambda\}$  is an infinite set. And, for every  $\lambda$ , there is a semistar operation  $I \longmapsto ID_{P_{\lambda}}$ . Furthermore, if we have an infinite number of overrings of D, then  $|\Sigma'(D)| = \infty$ . For, for every overring T, there is a semistar operation  $I \longmapsto IT$ .

**Lemma 4.2.** If K is a finite field, then we have  $|\Sigma(D)| < \infty$ .

**Proof.** Then  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = X$  is a finite set by Lemma 3.9. Let  $\star$  be a star operation on D, and let  $I \in X$ . Since V is a divisorial fractional ideal of D, we have  $D \subseteq I^{\star} \subseteq V^{\star} \subseteq V^{\mathsf{v}} = V$ , and hence  $I^{\star} \in X$ .

If we set  $I^* = g_*(I)$ , then the element  $* \in \Sigma(D)$  gives an element  $g_* \in X^X$ , where  $X^X$  is the set of mappings from X to X. And the mapping  $g : * \longmapsto g_*$  from  $\Sigma(D)$  to  $X^X$  is injective by the definition.

**Lemma 4.3.** Assume that  $P = M^2$ . Then  $\{T \mid T \text{ is an overring of } D \text{ with } T \subsetneq V\} = \{D\}.$ 

**Proof.** Because  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{(1), (1, \pi)\}$  by Example 3.4 (1).  $\Box$ 

**Lemma 4.4.** Assume that  $P = M^2$ . Then we have  $|\Sigma(D)| = 1$ , and if dim $(D) < \infty$ , then  $|\Sigma'(D)| = 1 + |\Sigma'(V)|$ .

**Proof.** If  $\inf v(I)$  does not exist, then  $I = I^{v}$  by Lemma 3.3. Hence every member  $I \in F(D)$  is divisorial. It follows that  $|\Sigma(D)| = 1$ , and Lemma 2.3 completes the proof.

A mapping  $\star$  from F(D) to F(D) is said to satisfy condition (C) if it satisfies the following three conditions: (1)  $D^{\star} = D$  and  $V^{\star} = V$ ; (2)  $(xI)^{\star} = xI^{\star}$  for every element  $x \in q(D) \setminus \{0\}$  and  $I \in F(D)$ ; (3) If inf v(I) does not exist, then  $I^{\star} = I$ . Obviously, every star operation satisfies the condition (C).

Throughout the rest of this section, assume that  $P = M^3$ .

**Lemma 4.5.** We have  $\{T \mid T \text{ is an overring of } D \text{ with } T \subseteq V\} = \{D, D + M^2\}.$ 

**Proof.** We have that  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = \{I_0, I_{0,2}, I_{0,1,2}\} \cup \{I_{0,1}^{\alpha} \mid \alpha \in \mathcal{K}\}$  by Example 3.4 (2), and that  $I_0 = D, I_{0,2} = D + M^2, I_{0,1,2} = V$ , and  $I_{0,1}^{\alpha}$  is not a subring of q(D) for every  $\alpha \in \mathcal{K}$ .

**Lemma 4.6.** (1) For elements  $\alpha, \beta \in \mathcal{K}$ , we have  $I_{0,1}^{\alpha} \subseteq I_{0,1}^{\beta}$  if and only if  $\alpha = \beta$ .

- (2)  $I_{0,2}$  and  $I_{0,1}^{\alpha}$  are not comparable for every  $\alpha \in \mathcal{K}$ .
- (3)  $I_{0,1}^{\alpha}$  and  $I_{0,1}^{\beta}$  are similar for every  $\alpha, \beta \in \mathcal{K}$ .
- **Proof.** (3) Set  $1 + \alpha \pi + \alpha^2 \pi^2 = x$ . Then we have  $x(1, \pi) = (1, \pi + \alpha \pi^2)$ . The proofs for (1) and (2) are similar.

**Lemma 4.7.** Let  $\star$  be a star operation on D. Then  $(I_{0,2})^{\star}$  is either  $I_{0,2}$  or V, and  $(I_{0,1}^0)^{\star}$  is either  $I_{0,1}^0$  or V.

**Proof.** Since V is a divisorial fractional ideal of D, we have  $(I_{0,2})^* \subseteq V$  and  $(I_{0,1}^0)^* \subseteq V$ . Then the assertion follows from Lemma 4.6.

**Lemma 4.8.** (1) Set  $I_{0,2} = (I_{0,2})^*$  and  $I_{0,1}^0 = (I_{0,1}^0)^*$ . Then  $\star$  can be extended uniquely to a mapping  $\star_1$  from F(D) to F(D) with condition (C).

(2) Set  $I_{0,2} = (I_{0,2})^*$  and  $V = (I_{0,1}^0)^*$ . Then \* can be extended uniquely to a mapping  $*_2$  from F(D) to F(D) with condition (C).

(3) Set  $V = (I_{0,2})^*$  and  $I_{0,1}^0 = (I_{0,1}^0)^*$ . Then \* can be extended uniquely to a mapping  $*_3$  from F(D) to F(D) with condition (C).

(4) Set  $V = (I_{0,2})^*$  and  $V = (I_{0,1}^0)^*$ . Then \* can be extended uniquely to a mapping  $*_4$  from F(D) to F(D) with condition (C).

**Proof.** We confer Example 3.4 (2) and Lemma 3.3. Let  $I \in F(D)$ , then Lemma 3.8 implies that either I is similar to one and only one in  $\{I_0, I_{0,2}, I_{0,1,2}, I_{0,1}^0\}$ , or inf v(I) does not exist. If v(I) does not exist, then we set  $I = I^{\star_i}$  for each i.  $\Box$ 

**Lemma 4.9.** In Lemma 4.8, we have the following:

- (1)  $\star_1$  is a star operation on D, and  $\star_1 = d$ .
- (2)  $\star_2$  is a star operation on D.
- (3)  $\star_3$  is not a star operation on D.
- (4)  $\star_4$  is a star operation on D, and  $\star_4 = v$ .

**Proof.** We confer Lemma 4.6.

(2) For elements  $x \in q(D) \setminus \{0\}$  and  $I \in F(D)$ , we have  $(x)^{\star_2} = (x)$ ,  $(xI)^{\star_2} = xI^{\star_2}$ ,  $I \subseteq I^{\star_2}$ , and  $(I^{\star_2})^{\star_2} = I^{\star_2}$ .

Let  $I_1, I_2 \in F(D)$  with  $I_1 \subseteq I_2$ . The proof for  $I_1^{\star_2} \subseteq I_2^{\star_2}$  follows from the following two facts:

- (i) Let  $(1,\pi) \subseteq I \in F(D)$  such that  $\inf v(I)$  does not exist. Then  $V \subseteq I$ .
- (ii) For elements  $x \in q(D) \setminus \{0\}$  and  $I \in \{I_0, I_{0,2}\}$ , if  $xI_{0,1}^0 \subseteq I$ , then  $xV \subseteq I$ .
- (3) Set  $\pi + \pi^2 = x$ . Then  $x(1, \pi^2) \subseteq (1, \pi + \pi^2)$  and  $xV \not\subseteq (1, \pi + \pi^2)$ .

The proofs for (1) and (4) are similar.

**Lemma 4.10.** Assume that  $P = M^3$ . Then  $|\Sigma(D)| = 3$ , and, if dim $(D) < \infty$ , then  $|\Sigma'(D)| = 4 + |\Sigma'(V)|$ .

**Proof.** By Lemma 4.9,  $\Sigma(D) = \{d, v, \star_2\}$ , and hence  $|\Sigma(D)| = 3$ .

Assume that dim $(D) < \infty$ . By Lemma 2.7, we can apply Lemma 4.4 for  $D' = D + M^2$ . Then, in Lemma 2.3, we have  $|\Sigma'_2| = |\Sigma(D)| + |\Sigma(D + M^2)| = 3 + 1 = 4$ . It follows that  $|\Sigma'(D)| = |\Sigma'_1| + |\Sigma'_2| = 4 + |\Sigma'(V)|$ .

The proof for Proposition 4.1 is complete.

# 5. The case where K = k and $P = M^n$ with $n \ge 4$

In this section, let  $D, P, V, M, K, v, \Gamma, \pi$  and  $\mathcal{K}$  be as in Section 3. We will prove the following,

**Proposition 5.1.** (1) Assume that K is an infinite field and  $P = M^n$  with  $n \ge 4$ . Then  $|\Sigma(D)| = \infty$ .

(2) Assume that K is a finite field and dim $(D) < \infty$ . Then  $|\Sigma'(D)| < \infty$ .

**Lemma 5.2.** Let T be an overring of D with  $T \subseteq V$ , and let  $I \in F(T)$ .

- (1) If  $\inf v(I)$  exists, then it is  $\min v(I)$ .
- (2) If  $\inf v(I)$  does not exist, then I is a divisorial fractional ideal of T.

The proof is similar to that of Lemma 3.3.

**Lemma 5.3.** Assume that K is a finite field, and let T be an overring of D with  $T \subseteq V$ . Then  $|\Sigma(T)| < \infty$ .

**Proof.** Let  $P = M^n$ . Set  $\{I \in F(T) \mid T \subseteq I \subseteq V\} = X$ , and set  $\{I \in F(T) \mid \min v(I) \text{ exists, and } -n \leq \min v(I) \leq 0\} = Y$ . Then X and Y are finite sets by Lemma 3.12. Let  $I \in F(T)$ . Then either min v(I) exists or  $\inf v(I)$  does not exist, and, if  $\inf v(I)$  does not exist, then I is a divisorial fractional ideal of T by Lemma 5.2.

Let  $\star$  be a star operation on T, and let  $I \in X$ . Since  $\pi^n I \subseteq T$ , we have  $\pi^n I^* \subseteq T$ . Hence min  $v(I^*)$  exists, and  $-n \leq \min v(I^*) \leq 0$ , that is,  $I^* \in Y$ . If we set  $I^* = g_*(I)$ , there is a canonical mapping  $g : \Sigma(T) \longrightarrow Y^X$ , where  $Y^X$  is the set of mappings from X to Y. Moreover, g is injective by the definition, and hence  $|\Sigma(T)| < \infty$ .

**Lemma 5.4.** Assume that K is a finite field and  $\dim(D) < \infty$ . Then  $|\Sigma'(D)| < \infty$ .

**Proof.** By Lemmas 3.11 and 5.3, we have  $|\Sigma'_2| < \infty$  and  $|\Sigma'(D)| < \infty$  in Lemma 2.3.

**Lemma 5.5.** Let  $\langle \tau; \alpha_1, \cdots, \alpha_k \rangle$ ,  $\langle \tau; \beta_1, \cdots, \beta_k \rangle$  be two datas on D with the same type  $\tau$  and with  $k \geq 1$ . Then  $I(\tau; \alpha_1, \cdots, \alpha_k) \subseteq I(\tau; \beta_1, \cdots, \beta_k)$  if and only if  $\alpha_i = \beta_i$  for each i.

**Proof.** For instance, assume that  $P = M^5$  and that  $I_{0,1,2}^{\alpha_1,\alpha_2,\alpha_3,\alpha_4} \subseteq I_{0,1,2}^{\beta_1,\beta_2,\beta_3,\beta_4}$ . Then we have  $\pi + \alpha_1 \pi^3 + \alpha_2 \pi^4 = (\pi + \beta_1 \pi^3 + \beta_2 \pi^4) + (\pi^2 + \beta_3 \pi^3 + \beta_4 \pi^4) p_1 + p_2$  for some elements  $p_1, p_2 \in P$ . Hence  $\alpha_1 = \beta_1$  and  $\alpha_2 = \beta_2$ . Similarly, we have  $\pi^2 + \alpha_3 \pi^3 + \alpha_4 \pi^4 = (\pi^2 + \beta_3 \pi^3 + \beta_4 \pi^4) + p_3$  for some element  $p_3 \in P$ . Hence  $\alpha_3 = \beta_3$  and  $\alpha_4 = \beta_4$ .

**Lemma 5.6.** Assume that  $P = M^n$  with  $n \ge 4$  and that K is an infinite field.

- (1) The set  $\{T \mid T \text{ is an overring of } D \text{ with } T \subseteq V\}$  is an infinite set.
- (2)  $|\Sigma'(D)| = \infty$ .

**Proof.** (1)  $I_{0,n-2}^{\alpha}$  is an overring of D with  $I_{0,n-2}^{\alpha} \subseteq V$  for every  $\alpha \in \mathcal{K}$ . Since  $|\mathcal{K}| = \infty$ , the assertion holds by Lemma 5.5.

(2) follows from (1).

**Lemma 5.7.** Assume that  $P = M^n$  with  $n \ge 3$ . Let  $I \in F(D)$  such that  $D \subseteq I \subseteq V$  with type  $\tau$ , let  $J \in F(D)$ , and let  $x \in q(D) \setminus \{0\}$ .

- (1) If  $I \subseteq J$ , and if inf v(J) does not exist, then  $V \subseteq J$ .
- (2) If  $xI \subseteq I_0$ , and if  $\tau \notin \{<0>, <0, n-1>\}$ , then  $xV \subseteq I_0$ .
- (3) If  $xI \subseteq I_{0,n-1}$ , and if  $\tau \notin \{ < 0 >, < 0, n-1 > \}$ , then  $xV \subseteq I_{0,n-1}$ .

(4) If  $xI \subseteq I_{0,1}^{\alpha_1, \dots, \alpha_{n-2}}$ , and if  $\tau \notin \{<0>,<0,1>,<0,n-1>\}$ , then  $xV \subseteq I_{0,1}^{\alpha_1, \dots, \alpha_{n-2}}$ .

**Proof.** (3) Suppose that v(x) = 0. Since  $\tau \notin \{<0>, <0, n-1>\}$ , I contains an element a such that 0 < v(a) < n-1. We have  $xa \in I_{0,n-1}$  and 0 < v(xa) < n-1; a contradiction.

(4) We have  $v(xI) \subseteq \{0, 1, n, n+1, \dots\}$ . Since  $x \in I_{0,1}^{\alpha_1, \dots, \alpha_{n-2}}$ , we have  $v(x) \in \{0, 1, n, n+1, \dots\}$ .

If v(x) = 0, then  $v(I) \subseteq \{0, 1, n, n+1, \dots\}$ . Hence  $\tau$  is either < 0 > or < 0, 1 >; a contradiction.

If v(x) = 1, then  $v(I) \subseteq \{0, n-1, n, \dots\}$ . Hence  $\tau$  is either < 0 > or < 0, n-1 >; a contradiction.

Finally, if  $v(x) \ge n$ , then  $xV \subseteq I_{0,1}^{\alpha_1, \cdots, \alpha_{n-2}}$ .

The proofs for (1) and (2) are similar.

**Lemma 5.8.** Assume that  $P = M^n$  with  $n \ge 4$ . Then  $I(0,1;0,\dots,0,\alpha) \sim I(0,1;0,\dots,0,\beta)$  if and only if  $\alpha = \beta$ .

**Proof.** The necessity: There is an element  $x \in q(D) \setminus \{0\}$  such that  $x(1, \pi + \alpha \pi^{n-1}) = (1, \pi + \beta \pi^{n-1})$ . We may assume that  $x = 1 + (\pi + \beta \pi^{n-1})\alpha'$  for some element  $\alpha' \in \mathcal{K}$ . Since  $x(\pi + \alpha \pi^{n-1}) \in (1, \pi + \beta \pi^{n-1})$ , we have  $\alpha = \beta$ .

**Example 5.9.** Assume that  $P = M^5$ . In the following, let  $\alpha_i, \beta_i, \alpha_{(i)} \in \mathcal{K}$  for each *i*.

(1)  $I_{0,1}^{\alpha_1,\alpha_2,\alpha_3} \sim I_{0,1}^{\beta_1,\beta_2,\beta_3}$  if and only if  $\alpha_2 - \beta_2 \equiv (\alpha_1 - \beta_1)(\alpha_1 + \beta_1) \pmod{P}$  and  $(\alpha_3 - \beta_3) \equiv (\alpha_1 - \beta_1)(\alpha_2 + \alpha_1\beta_1 + \beta_2) \pmod{P}$ .

(2) Let  $x \in q(D) \setminus \{0\}$ . If  $xI_{0,1}^{\alpha_{(1)},\alpha_{(2)},\alpha_{(3)}} \subseteq I_{0,1}^{\alpha_{1},\alpha_{2},\alpha_{3}}$ , and if  $I_{0,1}^{\alpha_{(1)},\alpha_{(2)},\alpha_{(3)}} \not\sim I_{0,1}^{\alpha_{1},\alpha_{2},\alpha_{3}}$ , then  $xV \subseteq I_{0,1}^{\alpha_{1},\alpha_{2},\alpha_{3}}$ .

(3) Fix a data  $< 0, 1; \alpha_{(1)}, \alpha_{(2)}, \alpha_{(3)} > on D$ . Let  $I \in F(D)$  with  $D \subseteq I \subseteq V$ . If I is either  $I_0$  or  $I_{0,4}$  or  $I_{0,1}^{\alpha_1,\alpha_2,\alpha_3}$  with  $I_{0,1}^{\alpha_1,\alpha_2,\alpha_3} \not\sim I_{0,1}^{\alpha_{(1)},\alpha_{(2)},\alpha_{(3)}}$ , set  $I = I^{\star_0}$ , and otherwise set  $V = I^{\star_0}$ . Then  $\star_0$  determines uniquely a star operation  $\star$  on D.

(4) If K is an infinite field, then  $|\Sigma(D)| = \infty$ .

**Proof.** We confer Example 3.4 (4).

(1) Set  $\pi + \alpha_1 \pi^2 + \alpha_2 \pi^3 + \alpha_3 \pi^4 = A$  and set  $\pi + \beta_1 \pi^2 + \beta_2 \pi^3 + \beta_3 \pi^4 = B$ .

The necessity: There is an element  $x \in q(D) \setminus \{0\}$  such that  $xI_{0,1}^{\alpha_1,\alpha_2,\alpha_3} = I_{0,1}^{\beta_1,\beta_2,\beta_3}$ . Then we have v(x) = 0. We may assume that  $x = 1 + B\alpha$  for some element  $\alpha \in \mathcal{K}$ . Since  $xA \in (1,B)$ , we have  $\alpha \equiv \beta_1 - \alpha_1, \beta_2 - \alpha_2 \equiv \alpha(\alpha_1 + \beta_1)$  and  $\beta_3 - \alpha_3 \equiv \alpha(\alpha_2 + \alpha_1\beta_1 + \beta_2)$ .

The sufficiency: Let  $\beta_1 - \alpha_1 \equiv \alpha$  with  $\alpha \in \mathcal{K}$ , and set  $1 + B\alpha = x$ . We have that  $A + AB\alpha = B + p_1$  for some element  $p_1 \in P$ , and hence  $x(1, A) \subseteq (1, B)$ . Similarly, let  $\alpha_1 - \beta_1 \equiv \beta$  with  $\beta \in \mathcal{K}$ ,  $1 + A\beta = y$ , and  $B + AB\beta = A + p_2$  for some element  $p_2 \in P$ . Then  $y(1, B) \subseteq (1, A)$ . On the other hand, since xy is a unit of D, it follows that x(1, A) = (1, B) and y(1, B) = (1, A).

(2) Suppose that v(x) = 0. Then we may assume that  $x = 1 + (\pi + \alpha_1 \pi^2 + \alpha_2 \pi^3 + \alpha_3 \pi^4) \alpha$  for some element  $\alpha \in \mathcal{K}$ . Then  $x(\pi + \alpha_{(1)}\pi^2 + \alpha_{(2)}\pi^3 + \alpha_{(3)}\pi^4) \in I_{0,1}^{\alpha_1,\alpha_2,\alpha_3}$  implies that  $\alpha_{(2)} - \alpha_2 \equiv (\alpha_{(1)} - \alpha_1)(\alpha_{(1)} + \alpha_1)$  and  $\alpha_{(3)} - \alpha_3 \equiv (\alpha_{(1)} - \alpha_1)(\alpha_{(2)} + \alpha_{(1)}\alpha_1 + \alpha_2)$ ; a contradiction.

(3) We introduced the condition (C) in Section 4. Then  $\star_0$  can be extended uniquely to a mapping  $\star$  from F(D) to F(D) with condition (C). Let  $I_1, I_2 \in F(D)$ with  $I_1 \subseteq I_2$ , then we have  $I_1^* \subseteq I_2^*$  by Lemma 5.7 and (2).

(4) Let  $\star_{\alpha_{(1)},\alpha_{(2)},\alpha_{(3)}}$  be the star operation on D determined in (3). If  $I_{0,1}^{\alpha_1,\alpha_2,\alpha_3} \not\sim I_{0,1}^{\beta_1,\beta_2,\beta_3}$ , then  $\star_{\alpha_1,\alpha_2,\alpha_3} \neq \star_{\beta_1,\beta_2,\beta_3}$ . By Lemma 5.8, we have  $|\Sigma(D)| = \infty$ .

**Lemma 5.10.** Assume that  $P = M^n$  with  $n \ge 4$ .

(1) Then  $I(0,1;\alpha_1,\cdots,\alpha_{n-2}) \sim I(0,1;\beta_1,\cdots,\beta_{n-2})$  if and only if  $\alpha_k - \beta_k \equiv (\alpha_1 - \beta_1)(\sum_{k=1}^{n} \beta_i \alpha_{k-1-i}) \pmod{P}$  for each  $2 \leq k \leq n-2$ .

(2) Let  $x \in q(D) \setminus \{0\}$ . If  $xI(0, 1; \alpha_1, \dots, \alpha_{n-2}) \subseteq I(0, 1; \beta_1, \dots, \beta_{n-2})$  with  $I(0, 1; \alpha_1, \dots, \alpha_{n-2}) \not\sim I(0, 1; \beta_1, \dots, \beta_{n-2})$ , then  $xV \subseteq I(0, 1; \beta_1, \dots, \beta_{n-2})$ .

**Proof.** We confer Lemma 5.9, where n = 5.

(1) Set  $\pi + \alpha_1 \pi^2 + \dots + \alpha_{n-2} \pi^{n-1} = A$ , and set  $\pi + \beta_1 \pi^2 + \dots + \beta_{n-2} \pi^{n-1} = B$ . The necessity: There is an element  $x \in q(D) \setminus \{0\}$  such that  $xI_{0,1}^{\alpha_1,\alpha_2,\dots,\alpha_{n-2}} = I_{0,1}^{\beta_1,\beta_2,\dots,\beta_{n-2}}$ . Since v(x) = 0, we may assume that  $x = 1 + B\alpha$  for some element  $\alpha \in \mathcal{K}$ . Since  $xA \in (1,B)$ , we have  $\alpha \equiv \beta_1 - \alpha_1$  and  $\beta_k - \alpha_k \equiv \alpha(\sum_{0=1}^{k-1} \beta_i \alpha_{k-1-i})$  for each  $2 \leq k \leq n-2$ .

The sufficiency is similar to the proof for Lemma 5.9 (1).

(2) Suppose that v(x) = 0. Then we may assume that  $x = 1 + (\pi + \beta_1 \pi^2 + \cdots + \beta_{n-2}\pi^{n-1})\alpha$  for some element  $\alpha \in \mathcal{K}$ . Then  $x(\pi + \alpha_1\pi^2 + \cdots + \alpha_{n-2}\pi^{n-1}) \in I_{0,1}^{\beta_1,\cdots,\beta_{n-2}}$  implies that  $\beta_k - \alpha_k \equiv (\beta_1 - \alpha_1)(\sum_{0}^{k-1} \alpha_i \beta_{k-1-i})$  for each  $2 \leq k \leq n-2$ ; a contradiction.

**Lemma 5.11.** Assume that  $P = M^n$  with  $n \ge 4$ . Fix a data  $< 0, 1; \alpha_{(1)}, \alpha_{(2)}, \cdots, \alpha_{(n-2)} > on D$ , and let  $I \in F(D)$  with  $D \subseteq I \subseteq V$ . If I is either  $I_0$  or  $I_{0,n-1}$  or  $I(0,1;\alpha_1,\alpha_2, \cdots, \alpha_{n-2})$  with  $I(0,1;\alpha_1,\alpha_2, \cdots, \alpha_{n-2}) \not\sim I(0,1;\alpha_{(1)},\alpha_{(2)}, \cdots, \alpha_{(n-2)})$ , set  $I = I^{\star_0}$ , and otherwise set  $V = I^{\star_0}$ . Then  $\star_0$  determines uniquely a star operation  $\star$  on D.

**Proof.** We confer Lemma 5.9 (3). Then  $\star_0$  can be extended uniquely to a mapping  $\star$  from F(D) to F(D) with condition (C). Let  $I_1, I_2 \in F(D)$  with  $I_1 \subseteq I_2$ . Then, by Lemma 5.7 and Lemma 5.10 (2), we have  $I_1^{\star} \subseteq I_2^{\star}$ .

**Lemma 5.12.** Assume that K is an infinite field and  $P = M^n$  with  $n \ge 4$ . Then  $|\Sigma(D)| = \infty$ .

**Proof.** Let  $\star_{\alpha_{(1)},\alpha_{(2)},\cdots,\alpha_{(n-2)}}$  be the star operation on D determined in Lemma 5.11. If  $I_{0,1}^{\alpha_1,\alpha_2,\cdots,\alpha_{n-2}} \not\sim I_{0,1}^{\beta_1,\beta_2,\cdots,\beta_{n-2}}$ , then  $\star_{\alpha_1,\alpha_2,\cdots,\alpha_{n-2}} \neq \star_{\beta_1,\beta_2,\cdots,\beta_{n-2}}$ . By Lemma 5.8, we have  $|\Sigma(D)| = \infty$ .

The proof for Proposition 5.1 is complete, and the proof for the case where K = k in our Theorem is complete.

# 6. The case where $K \supseteq k$

In this final section, let D be an APVD which is not a PVD, P be the maximal ideal of D, V = (P : P), M be the maximal ideal of V,  $K = \frac{V}{M}$ ,  $k = \frac{D}{P}$ , v be a valuation belonging to V,  $\Gamma$  be the value group of v,  $\{\alpha_i \mid i \in \mathcal{I}\} = \mathcal{K}$  be a complete system of representatives of V modulo M with  $\{0, 1\} \subseteq \mathcal{K}$ , and assume that  $K \supseteq k$ , and that min v(M) exists with min  $v(M) = v(\pi) = 1 \in \mathbb{Z} \subseteq \Gamma$  for some element  $\pi \in M$ . We will prove the following,

Proposition 6.1. The following conditions are equivalent.

- (1)  $|\Sigma'(D)| < \infty$ .
- (2) K is a finite field,  $\dim(D) < \infty$ , and  $P = M^n$  for some  $n \ge 2$ .

**Lemma 6.2.** (1) Let  $x \in q(D) \setminus \{0\}$  with  $v(x) \in \mathbb{Z}$ , and let k be a positive integer with k > v(x). Then x can be expressed uniquely as  $x = \alpha_l \pi^l + \alpha_{l+1} \pi^{l+1} + \cdots + \alpha_{k-1} \pi^{k-1} + a\pi^k$ , where l = v(x) and each  $\alpha_i \in \mathcal{K}$  with  $\alpha_l \neq 0$  and  $a \in V$ .

- (2) There is a unique integer  $n \ge 2$  such that  $P = M^n$ .
- (3) Let  $I \in F(D)$  such that  $\inf v(I)$  exists. Then  $\inf v(I) = \min v(I)$ .
- (4) Let  $I \in F(D)$  such that  $\inf v(I)$  does not exist. Then  $I = I^{v}$ .

The proofs are similar to those for Lemmas 3.1, 3.2 and 3.3.

**Lemma 6.3.** Assume that  $P = M^n$  for some  $n \ge 2$ . Let T be an overring of D with  $T \subseteq V$  and let  $I \in F(T)$ .

- (1) If  $\inf v(I)$  exists, then it is  $\min v(I)$ .
- (2) If inf v(I) does not exist, then I is a divisorial fractional ideal of T.

The proof is similar to that for Lemma 3.3.

**Lemma 6.4.** Assume that K is a finite field and  $P = M^n$  for some  $n \ge 2$ .

(1) The set  $\{I \in F(D) \mid D \subseteq I \subseteq V\}$  is a finite set.

(2) Let l be a negative integer. Then the set  $\{I \in F(D) \mid \min v(I) \text{ exists, and } l \leq \min v(I) \leq 0\}$  is a finite set.

(3) The set  $\{T \mid T \text{ is an overring of } D \text{ with } D \subseteq T \subseteq V\}$  is a finite set.

(4) The set  $\{I \in F(T) \mid T \subseteq I \subseteq V\}$  is a finite set.

(5) Let T be an overring of D with  $T \subseteq V$ , and let l be a negative integer. Then the set  $\{I \in F(T) \mid \min v(I) \text{ exists, and } l \leq \min v(I) \leq 0\}$  is a finite set.

The proofs are similar to those for Lemmas 3.9, 3.10, 3.11 and 3.12.

**Lemma 6.5.** Assume that k is an infinite field and  $P = M^n$  for some  $n \ge 2$ . Then there is an infinite number of intermediate rings between D and V.

**Proof.** Let  $u \in V$  such that  $\overline{u} = u + M \in K \setminus k$ . Let  $a \in D \setminus P$ , and set  $(1, (1 + au)\pi^{n-1}) = D_a$ . Then  $D_a$  is an overring of D with  $D_a \subseteq V$ .

Let  $a, b \in D \setminus P$  such that  $D_a = D_b$ . Then we have  $\bar{a} = \bar{b}$ . For, we have  $(1 + au)\pi^{n-1} = (1 + bu)\pi^{n-1}d + p$  for some elements  $d \in D$  and  $p \in P$ . It follows that 1 - d = (bd - a)u + m for some element  $m \in M$ . If  $bd - a \equiv 0$ , then  $1 - d \equiv 0$ , hence  $\bar{b} = \bar{b}\bar{d} = \bar{a}$ . Suppose that  $\overline{bd - a} \neq \bar{0}$ . Since  $\overline{1 - d} = \overline{bd - a} \bar{u}$ , we have  $\bar{u} \in k$ ; a contradiction. It follows that  $\{D_a \mid a \in D \setminus P\}$  is an infinite set, since k is an infinite field. The proof is complete.

Proof for Proposition 6.1. (1)  $\implies$  (2): By Lemma 2.2 (6), we have dim $(D) < \infty$ and  $[K:k] < \infty$ . We may apply Lemma 6.2. Then we have  $P = M^n$  for some  $n \ge 2$ . Suppose that K is an infinite field. Since  $[K:k] < \infty$ , k is an infinite field. By Lemma 6.5, there is an infinite number of intermediate rings between D and V. It follows that  $|\Sigma'(D)| = \infty$ ; a contradiction.

(2)  $\implies$  (1): We can apply Lemma 6.4. The set  $\{I \in F(D) \mid D \subseteq I \subseteq V\} = X$  is a finite set. Let  $\star$  be a star operation on D, and let  $I \in X$ . We note that V is a divisorial fractional ideal of D. Since  $D \subseteq I^{\star} \subseteq V$ , we have  $I^{\star} \in X$ .

If we set  $I^* = g_*(I)$ , then the element  $* \in \Sigma(D)$  gives an element  $g_* \in X^X$ . By Lemma 6.2 (3), the mapping  $g : * \longmapsto g_*$  from  $\Sigma(D)$  to  $X^X$  is an injection. It follows that  $|\Sigma(D)| < \infty$ .

Let T be an overring of D with  $T \subseteq V$ . Set  $\{I \in F(T) \mid T \subseteq I \subseteq V\} = X$ , and set  $\{I \in F(T) \mid \min v(I) \text{ exists, and } -n \leq \min v(I) \leq 0\} = Y$ . Then X and Y are finite sets. For every  $I \in F(T)$ , either min v(I) exists or inf v(I) does not exist by Lemma 6.3 (1). Let  $\star$  be a star operation on T, and let  $I \in X$ . Since  $\pi^n I \subseteq T$ , we have  $\pi^n I^{\star} \subseteq T$ . Hence min  $v(I^{\star})$  exists, and  $-n \leq \min v(I^{\star}) \leq 0$ , that is,  $I^{\star} \in Y$ . If we set  $I^{\star} = g_{\star}(I)$ , there is a canonical mapping  $g : \Sigma(T) \longrightarrow Y^X$ . Lemma 6.3 implies that g is an injection, hence  $|\Sigma(T)| < \infty$ . By Lemma 6.4 (3) and Lemma 2.3, we have  $|\Sigma'_2| < \infty$ , and  $|\Sigma'(D)| < \infty$ .

The proof for our Theorem is complete by Propositions 5.1 and 6.1.

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# Ryûki Matsuda

2241-42 Hori, Mito, Ibaraki 310-0903, JAPAN e-mail: rmazda@adagio.ocn.ne.jp